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NOTICE OF ALLOWANCE AND FEE(S) DUE

7590 04/21/2004

Richard P. Berg, Esq.  
c/o LADAS & PARRY  
Suite 2100  
5670 Wilshire Boulevard  
Los Angeles, CA 90036-5679

EXAMINER

COX, CASSANDRA F

ART UNIT

PAPER NUMBER

2816

DATE MAILED: 04/21/2004

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/600,785	06/19/2003	Jian-Shen Yu	B-5128 621031-0	5987

TITLE OF INVENTION: SEQUENTIAL PULSE TRAIN GENERATOR

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$300	\$1630	07/21/2004

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. **PROSECUTION ON THE MERITS IS CLOSED.** THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN **THREE MONTHS** FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. **THIS STATUTORY PERIOD CANNOT BE EXTENDED.** SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

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B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

☐ Applicant claims SMALL ENTITY status.  
See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

**IMPORTANT REMINDER:** Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

# **PART B - FEE(S) TRANSMITTAL**

Complete and send this form, together with applicable fee(s), to: **Mail**

**Mail Stop ISSUE FEE  
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P.O. Box 1450  
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**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

7590 04/21/2004

Richard P. Berg, Esq.  
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Los Angeles, CA 90036-5679

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## **Certificate of Mailing or Transmission**

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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nonprovisional	NO	\$1330	\$300	\$1630	07/21/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
COX, CASSANDRA F	2816	327-178000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1	_____
2	_____
3	_____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☐ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

- ☐ Issue Fee
- ☐ Publication Fee
- ☐ Advance Order - # of Copies \_\_\_\_\_

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- ☐ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

(Date)

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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EXAMINER

COX, CASSANDRA F

ART UNIT	PAPER NUMBER
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2816

DATE MAILED: 04/21/2004

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system (<http://pair.uspto.gov>).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

<b>Notice of Allowability</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	10/600,785	YU, JIAN-SHEN	
	<b>Examiner</b>	<b>Art Unit</b>	
	Cassandra Cox	2816	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--**

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to 06/19/03.
2. ☒ The allowed claim(s) is/are 1-15.
3. ☒ The drawings filed on 19 June 2003 are accepted by the Examiner.
4. ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) ☒ All    b) ☐ Some\*    c) ☐ None    of the:
    1. ☒ Certified copies of the priority documents have been received.
    2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
    3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_.

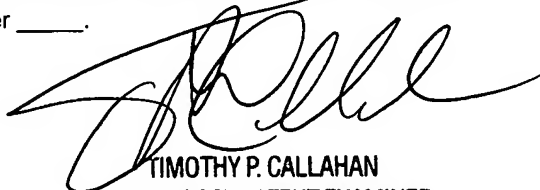
Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.  
**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

5. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
6. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
  - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
    - 1) ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_\_.
  - (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
7. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

**Attachment(s)**

- |  |  |
|--|--|
| 1. <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 5. <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)            |
| 2. <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | 6. <input type="checkbox"/> Interview Summary (PTO-413),<br>Paper No./Mail Date _____. |
| 3. <input checked="" type="checkbox"/> Information Disclosure Statements (PTO-1449 or PTO/SB/08),<br>Paper No./Mail Date <u>06/19/03</u> | 7. <input checked="" type="checkbox"/> Examiner's Amendment/Comment                    |
| 4. <input type="checkbox"/> Examiner's Comment Regarding Requirement for Deposit<br>of Biological Material                               | 8. <input checked="" type="checkbox"/> Examiner's Statement of Reasons for Allowance   |
|  | 9. <input type="checkbox"/> Other _____.   |

  
 TIMOTHY P. CALLAHAN  
 SUPERVISORY PATENT EXAMINER

## **DETAILED ACTION**

### **EXAMINER'S AMENDMENT**

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Richard Berg on 04/09/04.

The application has been amended as follows: On page 6, line 2 "S3" has been replaced with --S4--.

On page 6, line 4 "S3" has been replaced with --S4--.

On page 6, line 28 "output" has been replaced with --input--.

On page 7, line 2 "S4" has been replaced with --S3--.

In line 24 of claim 1, the term "first output" has been replaced with --fifth input--.

In lines 30-31 of claim 1, the term "first output" has been replaced with --fifth input--.

### ***Allowable Subject Matter***

2. Claims 1-15 are allowed.

3. The following is an examiner's statement of reasons for allowance: Claims 1-7 are allowed because the closest prior art of record fails to disclose a circuit as shown in Figure 2a wherein the pulse train generator comprises a first (211) and second (212) dynamic shift register circuit, first (S3), second (S4), third (S1) and fourth (S2) input

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terminal of the first dynamic shift register circuit (211) coupled to receive an initial pulse train (IN), the inverted initial pulse train (IN'), a clock signal (CK) and the inverted clock signal (CK'), the fifth input terminal (S7) of the first dynamic shift register circuit (211) coupled to the first input terminal (S4) of the second dynamic shift register circuit (212), the third (S1) and fourth (S2) input terminal of the second dynamic shift register circuit (212) coupled to receive the inverted clock signal (CK') and the clock signal (CK), respectively; a first (221) and second (222) level shifter, each of which has a first (L1) and second (L2) input terminal, and an output terminal (L3), the first (L1) and second (L2) input terminal of the first level shifter (221) coupled to the first (S5) and second (S6) output terminal of the first dynamic shift register circuit (211), the output terminal (L3) of the first level shifter (221) coupled to the fifth input terminal (S7) of the first dynamic shift register circuit (211), the first (L1) and second (L2) input terminal of the second level shifter (222) coupled to the first (S5) and second (S6) output terminal of the second dynamic shift register circuit (212), the output terminal (L3) of the second level shifter (222) coupled to the fifth input terminal (S7) of the second dynamic shift register circuit (212), respectively; and a first (231) and second (231) inverter having input terminals coupled to the output terminals (L3) of the first (221) and second (222) level shifter, and outputting a first (OUT1) and second (OUT2) sequential pulse train, the output terminal of the first inverter (231) coupled to the second input terminal (S3) of the second dynamic shift register circuit (212) in combination with the rest of the limitations of the base claims and any intervening claims. Claims 8-14 are allowed because the closest prior art of record fails to disclose a circuit as shown in Figures 5a-5b wherein a pulse

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train generator comprises a first (511), second (512) and third (513) dynamic shift register circuit, the first (S4), second (S3) and third (S1) input terminal of the first dynamic shift register circuit (511) coupled to receive an initial pulse train (IN), the inverted initial pulse train (IN') and a clock signal (CK), the fourth input terminal (S2) of the first dynamic shift register circuit (511) coupled to the second input terminal (S4) of the third dynamic shift register circuit (513), the third input terminal (S1) of the second dynamic shift register circuit (512) coupled to receive the inverted clock signal (CK'), the third input terminal (S1) of the third dynamic shift register circuit (513) coupled to receive the clock signal (CK); a first (521), second (522) and third (523) level shifter, the first (L1) and second (L2) input terminal of the first level shifter (521) coupled to the first (S5) and second (S6) output terminal of the first dynamic shift register circuit (511), the first (L1) and second (L2) input terminal of the second level shifter (522) coupled to the first (S5) and second (S6) output terminal of the second dynamic shift register circuit (512), the first (L1) and second (L2) input terminal of the third level shifter (523) coupled to the first (S5) and second (S6) output terminal of the third dynamic shift register circuit (513); and a second (531), third (532), fourth (533), fifth (541), sixth (542), and seventh (543) inverter, input terminals of the second (531), third (532), and fourth (533) inverter coupled to the output terminals (L3) of the first (521), second (522), and third (523) level shifter, output terminals of the second (531) and third (532) inverter coupled to the first input terminals (S3) of the second (512) and third (513) dynamic shift register circuit, input terminals of the fifth (541), sixth (542), and seventh (543) inverter coupled to the output terminals of the second (531), third (532), and fourth (533) inverter, an output

terminal of the fifth inverter (541) coupled to the second input terminal (S4) of the second dynamic shift register circuit (512), the output terminals of the fifth (541), sixth (542) and seventh (543) inverter outputting a first (OUT1), second (OUT2), and third (OUT3) sequential pulse train, respectively in combination with the rest of the limitations of the base claims and any intervening claims. Claim 15 is allowed because the closest prior art of record fails to disclose a circuit as shown in Figures 5a-5b wherein the pulse train generator comprises a first (511), second (512), and third (513) dynamic shift register circuit, the first (S4) and second (S1) input terminal of the first dynamic shift register circuit (511) coupled to receive an initial pulse train (IN) and a clock signal (CK), the third input terminal (S2) of the first dynamic shift register circuit (511) coupled to the first input terminal (S4) of the third dynamic shift register circuit (513), the second input terminal (S1) of the second dynamic shift register circuit (512) coupled to receive the inverted clock signal (CK'), the second input terminal (S1) of the third dynamic shift register circuit (513) coupled to receive the clock signal (CK); a first (521), second (522), and third (523) level shifter, each of which has a first (L1) and second (L2) input terminal, and an output terminal (L3), the first (L1) and second (L2) input terminal of the first level shifter (521) coupled to the first (S5) and second (S6) output terminal of the first dynamic shift register circuit (511), the first (L1) and second (L2) input terminal of the second level shifter (522) coupled to the first (S5) and second (S6) output terminal of the second dynamic shift register circuit (512), the first (L1) and second (L2) input terminal of the third level shifter (523) coupled to the first (S5) and second (S6) output terminal of the third dynamic shift register circuit (513); and a first (531, 541), second



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(532, 542) and third (533, 543) buffer, input terminals of the first (531, 541), second (532, 542), and third (533, 543) buffer coupled to the output terminals (L3) of the first (521), second (522), and third (523) level shifter, output terminals of the second buffer (532, 542) coupled to the third input terminal (S2) of the first dynamic shift register circuit (511) and to the first input terminal (S4) of the third dynamic shift register circuit (513) in combination with the rest of the limitations of the base claims and any intervening claims.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

### ***Conclusion***

4. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Cassandra Cox whose telephone number is 571-272-1741. The examiner can normally be reached on Monday-Thursday from 8:00 AM to 5:30 PM and on alternate Fridays from 8:00 AM to 4:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Timothy Callahan can be reached on 571-272-1740. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

CC

cc

April 13, 2004